

## Investor Certification Form

**1. Are you an "Accredited Investor," as defined below? Yes \_\_\_ No \_\_\_ (If you qualify as an "Accredited Investor," please check the applicable categories below.)**

For Individuals -select either item (a) or (b):

a) \_\_\_ Any natural person whose individual net worth, or joint net worth with that person's spouse, at the time of his purchase exceeds \$1,000,000, excluding the value of your primary residence\*

My approximate net worth is :

\_\_\_ Between \$1,000,000 and \$1,500,000

\_\_\_ Between \$1,500,000 and \$2,000,000

\_\_\_ Between \$2,000,000 and \$5,000,000

\_\_\_ Over \$5,000,000

(b) \_\_\_ Any natural person who had an income in excess of \$200,000 in each of the two most recent years or joint income with that person's spouse in excess of \$300,000 (both spouses should sign) in each of those years and has a reasonable expectation of reaching the same income level in the current year.

**2. Do you also meet the *Portfolio Requirement* of a "Qualified Client" which means any natural person whose individual net worth, or joint net worth with that person's spouse, at the time of his purchase exceeds \$2,000,000, excluding the value of your primary residence? \***

Yes \_\_\_ No \_\_\_

\*When calculating net worth, you should (i) exclude your primary residence as an asset, (ii) exclude debt secured by your primary residence, up to the fair market value of your residence, (iii) include the amount of any increase on the debt secured by your primary residence incurred within the last 60 days of making a purchase of the securities (unless related to the acquisition of your primary residence, and (iv) include debt in excess of the fair market value of your primary residence.

I certify that I am an Accredited Investor and/or Qualified Client as noted above.

\_\_\_\_\_  
Name (Please print)

\_\_\_\_\_  
Phone Number

\_\_\_\_\_  
E-Mail

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature (Spouse)

\_\_\_\_\_  
Date